An Efficient Realization of Forward Integer Transform in H.264/AVC Intra-frame Encoder

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Abstract—The H.264/AVC intra-only frame encoder, for its excellent encoding performance, is well-suited for image/video compression applications such as Digital Still Camera (DSC), Digital Video Camera (DVC), Television Studio Broadcast and Surveillance video. The forward integer transform is an integral part of the H.264/AVC video encoder. In this paper, for image compression applications running on battery-powered electronic devices (such as DSC), we propose a low-power, area-efficient realization of the forward integer transform. The proposed solution reduces the number of operations by more than 50% (30 vs. 64) and consumes significantly less dynamic power when compared with existing state-of-the-art designs for the forward integer transform. For video compression applications such as Television Studio Broadcast or Surveillance Videos, where throughput is more important, we propose a low-latency, area-efficient realization of the forward integer transform unit in the intra frame processing chain. With the proposed solution, the effective latency for forward integer transform is drastically reduced, as the processing unit is no longer on the critical path of the intra-frame processing chain. Moreover, the proposed solution requires half the numbers of operations for its hardware implementation, when compared with existing state-of-the-art designs for forward integer transform.

Keywords- H.264/AVC; Forward integer transform; Hadamard transform; Intra frame encoder.

I. INTRODUCTION

The Advanced Video Coding standard H.264/AVC, also known as MPEG-4 part 10, is jointly developed by ITU-T VCEG and ISO/IEC MPEG [1]. It is able to achieve significantly higher compression efficiency over the previous video coding standards, like H.263 and MPEG-2/4. The H.264/AVC provides similar subjective video quality as that of MPEG-2 with at least 50% more reduction in bit-rate [2], [3]. It provides up to 30% better compression when compared with H.263+ and MPEG-4 Advanced Simple Profile (ASP) [4]. This significantly higher compression efficiency is achieved at the cost of additional computational complexity of the video coding algorithms in H.264/AVC, approx. 10 times higher relative to MPEG-4 simple profile [4].

The H.264/AVC supports multiple directional intra prediction modes (4 modes for the 16x16 block type and 9 modes for the 4x4 block type) to reduce the spatial redundancy in the video signal. These multiple intra prediction modes help to significantly improve the encoding performance of an H.264 intra-frame encoder. Several studies [6][17] have shown that H.264/AVC outperforms JPEG2000, a state-of-the-art still image coding standard, in terms of subjective as well as objective image quality. This makes H.264/AVC intra-frame encoder, an attractive choice for an image compression engine. Applications like Digital Still Camera (DSC) can employ intra-frame encoding technique to compress high-resolution images.

In a video frame encoded in intra mode, the current macro-block (MB) is predicted from the previously encoded neighboring MBs from the same video frame. Therefore, an intra-frame with all intra-MB, does not depend on any other video frame(s) and can be decoded independently. A video encoded with intra-frames only is easier to edit than video with predicted frames. Similarly in many surveillance systems, the video is compressed using intra frames encoding mode due to legal reasons. Courts do not accept the predicted image frames as legal evidence in many countries [5]. As a result, a typical video surveillance system compresses video using intra encoded frames only. Consequently, intra-only video coding is widely used coding technique in Television Studio Broadcast, Digital Cinema and Surveillance video applications.

A high-throughput intra-frame processing chain is, therefore, an important requirement for H.264/AVC intra-frame encoder for real time video processing applications. Similarly a low-power solution is more important for battery-powered devices such as Digital Still Camera (DSC) applications.

In this paper, we focus on the realization of a forward integer transform unit in an H.264/AVC intra-frame encoder and provide solutions for two application domains. The first solution targets a low-power realization of the forward integer transform requirement for battery-powered electronic devices. The second solution targets high performance computing, where the main objective is to reduce latency and complexity of the forward integer transform unit in the intra-frame encoder processing chain. In this context, we provide the following specific contributions:

- A proposal to derive the forward integer transformed coefficients directly from that of the forward Hadamard transform unit rather than from residual pixel block data. This approach reduces the number of addition operations by more than half (30 vs. 64)
and, therefore, the hardware implementation can potentially consumes less dynamic power.

- A proposal for low latency, low complexity forward integer transform unit by aggressively re-using the intermediate results from Hadamard transform unit. The proposed solution reduces the number of operations by 50% (32 vs 64) and provides zero penalty to the intra-frame encoder critical path.

The remainder of this paper is organized as follows. The background and related work for the problem is presented in Section II. Section III describes the proposed solutions in detail whereas Section IV provides comparison results. Finally, Section V concludes this paper.

II. BACKGROUND AND RELATED WORK

This section briefly describes the background knowledge for H.264/AVC intra-frame encoder to clearly identify the problem. The related work in the literature is provided further in this section.

The functional block diagram for H.264/AVC intra-frame encoder is depicted in Fig. 1. The Intra-mode Prediction, Forward Integer Transform (T), Quantization (Q), Inverse Quantization (IQ) and Inverse Integer Transform (IT) is the encoding loop for the intra-frame encoder. All of these processing units constitute the intra-frame processing chain and are on the critical path of the H.264/AVC intra-frame encoder.

The H.264/AVC intra-mode prediction supports multiple prediction modes (4 modes for the 16x16 block type and 9 modes for the 4x4 block type) to exploit the spatial correlation between the block to be encoded and its already encoded neighboring blocks to significantly reduce the predictive error. When a block is to be encoded in intra mode, a corresponding prediction block is formed using previously encoded and reconstructed blocks. The mode decision unit determines the best mode for the block at hand. The reference software for H.264/AVC encoder utilizes a Lagrange cost function to make an intra prediction mode decision. The cost for any given intra mode is computed as follows:

\[ J_{\text{mode}}(MB_i, I|Q) = D(MB_i, I|Q) + \lambda_{\text{mode}} \times R(MB_i, I|Q) \]  

(1)

Where \( \lambda_{\text{mode}} \), \( D \) and \( R \) represents the Lagrange parameter, distortion and rate, respectively. The rate (\( R \)) is estimated by the number of bits to encode the mode whereas the distortion (\( D \)) is measured using the Sum of Absolute Difference (SAD) or the Sum of Absolute Transformed Differences (SATD) of the residual block data. The SATD, however, is more precise in estimating the distortion and provides better encoding video quality. The H.264/AVC reference software uses the forward Hadamard transform to compute the frequency domain coefficients for the candidate intra-prediction mode [7]. The distortion (\( D \)) in Eq. (1) is estimated by using the absolute sum of all these Hadamard transformed coefficients.

The intra prediction process of a block requires the reconstructed pixels of the (Left and/or Top) neighbor blocks. Therefore, the intra prediction process can not be started until these reconstructed pixels of the neighbor blocks are not available for prediction. The reconstruction process involves the Forward Integer Transform (T), Quantization (Q), Inverse Quantization (IQ) and Inverse Integer Transform (IT) processing units. Consequently, low-latency is an important requirement for all of these processing units for the development of an efficient intra-frame encoder.

In the last few years many researchers proposed a number of optimized algorithms and efficient architectures for intra frame processing chain. A number of algorithms [21] – [23] have been proposed in the literature to reduce the complexity and computational load of the intra prediction and mode decision units. These fast algorithms either restrict the number of possible candidate modes by exploiting different characteristics (edge orientation, correlation, etc.) of the video block in the video frame and/or compute only partial cost. Similarly for forward integer transform unit, the major focus of research has been to develop fast algorithms with minimal area requirement for its hardware implementation. In [8], Liu, et al., used a parallel register array to realize the transpose operation for the 2-D 4x4 forward integer transform. In [9], Cheng proposed high-throughput 4x4 integer transform architecture with no transpose memory requirement. Fan, et al., proposed a fast 2-D transform algorithm in [10]. Similarly in [11] – [13], a number of fast algorithms for the integer transform have been proposed.

The H.264/AVC encoder utilizes multiple transforms (Forward/Inverse) Integer Transform and (Forward/Inverse) Hadamard Transform. Therefore, recently, the research focus has been shifted to design a unified transform block to support multiple transforms in H.264 [14]–[18]. The motivation for such efforts is to reduce the on-chip area requirement for the hardware implementation of these transforms units by sharing area between them. The proposed architectures although support multiple transforms in H.264/AVC, but mostly provide only one type of transform at a time. All of these architectures take residual data as an input and provide the transformed coefficients for the selected type of transform at the output.

III. PROPOSED SOLUTION

In this section, we first describe the low-power and area-efficient solution for image processing applications along with corresponding hardware implementations. The low-latency, area-efficient solution for video applications is described in the end of this section.
In this paper, we followed a different approach from the related work cited in Section II. As described earlier, the forward Hadamard transformed coefficients are used to estimate the distortion for the candidate intra prediction modes [7]. As part of a low-power, low-area solution for the battery-powered electronic devices, we propose to derive the integer transformed coefficients directly from the Hadamard transformed coefficients for the best intra prediction mode rather than from the residual block data. The proposed solution requires less than half the number of additions (30 vs 64) when compared with those for state-of-the-art design in literature. Similarly for video processing applications with high-throughput requirement, we propose a low-latency forward integer transform realization by re-using the intermediate results from the Hadamard transform unit in the intra-prediction mode decision process. This approach helps to reduce the number of addition operations by half (32 vs. 64) and diminishes the effective latency penalty to zero, as the proposed computation for the integer transform is removed from the critical path.

A. Cascaded Processing Unit for Image Processing Applications

The H.264/AVC uses a 2D-DCT-based integer transform "C" for all 4x4 block of residual pixel data "X" and is given as follows

\[ Z = C X C^T \]  \hspace{1cm} (2)

where

\[ C = \begin{bmatrix}
1 & 1 & 1 & 1 \\
2 & 1 & -1 & -2 \\
1 & -1 & -1 & 1 \\
1 & -2 & 2 & -1
\end{bmatrix} \]  \hspace{1cm} (3)

Similarly, the 2-D Hadamard transformed coefficients "Y" for a 4x4 input block "X" is computed using the Hadamard transform "H" as follows.

\[ Y = H X H^T \]  \hspace{1cm} (4)

where

\[ H = \begin{bmatrix}
1 & 1 & 1 & 1 \\
1 & 1 & -1 & -1 \\
1 & -1 & -1 & 1 \\
1 & -1 & 1 & -1
\end{bmatrix} \]  \hspace{1cm} (5)

If "S" is such a transform that it can be used to convert the forward Hadamard transform coefficients "Y" into forward integer transform coefficients "Z", then for 1-D case, we can write

\[ C = S H \Rightarrow S = C H^{-1} \]  \hspace{1cm} (6)

and "S" can be represented by the following:

\[ S = \begin{bmatrix}
1 & 0 & 0 & 0 \\
0 & 1.5 & 0.5 & 0 \\
0 & 0 & 1 & 0 \\
0 & -0.5 & 0.5 & 1.5
\end{bmatrix} = \begin{bmatrix}
1 & 0 & 0 & 0 \\
0 & 1 & 0 & 0 \\
0 & 0 & 1 & 0 \\
0 & 0 & 0 & 1
\end{bmatrix} + \begin{bmatrix}
0 & 0 & 0 & 0 \\
0 & 0.5 & 0 & 0 \\
0 & 0 & 0 & 0 \\
0 & -0.5 & 0 & 0
\end{bmatrix} \]  \hspace{1cm} (7)

Fig. 2 (a) Signal flow diagram for 1-D S transform, (b) Basic processing block for 1-D S transform

The proposed 1-D transform requires 4 additions and 2 shift operations to generate the integer transform coefficients from the Hadamard transform coefficients data. The corresponding signal flow diagram for 1-D case is depicted in Fig. 2. The fast 1-D forward integer transform algorithm in [19] requires 8 additions along with 2 shift operations to compute the same forward integer transform results from intra prediction residual data.

The 2-D transform can be realized by row-column processing using two 1-D "S" transform units and a single transpose unit. This organization requires a total of 32 additions and 16 shift operations to generate integer transform coefficients from Hadamard transformed coefficients data. In case the forward integer transform coefficients are generated from the intra prediction residual data, the fast algorithm using row-column computation method in [13], [14] requires 64 additions and 16 shifts operations. Therefore, our proposal reduces the number of additions by half when compared with the current state-of-the-art method in literature.

The major disadvantage of the row-column method for 2-D transform is that it requires a transpose unit between successive 1-D transform operations. The transpose unit is on the critical path; therefore, it increases the latency of the processing chain and also requires significant area for its implementation. The transpose unit can be removed from the processing chain by using a direct 2-D transform method.

For 1-D processing, only two Hadamard transformed coefficients (Odd numbered coefficients) are processed for any given column or row in "Y" (Fig 2a). The input coefficients with even numbered rows and even numbered columns are passed unaltered. The coefficients at the cross-section of odd rows and odd columns are processed in both directions. The coefficients with either odd numbered row or odd numbered column are processed only once as part of 1-D column or row-transform operation respectively. The 2-D transform can be realized using the processing blocks “P”
identified in Fig. 2b. The functional block diagram for 2-D transform is depicted in Fig. 4.

The 2-D transform no longer requires a transpose unit anymore. The number of adders remains the same, i.e., 32, as for the row-column processing depicted in Fig. 3. This number can be further reduced by removing redundant operations between cascaded P processing units in Fig. 4 using the direct 2-D transform algorithm.

The direct 2-D algorithm for transform "S" in (6), can be derive as follows:

\[
vec(Z) = (S \otimes S) \cdot vec(Y)
\]  

(8)

In (8), "\( \otimes \)" is the Kronecker product. \( vec(Y) \) is the column vector, consisting of Hadamard transform coefficients as input and \( vec(Z) \) represents the corresponding column vector with forward Integer transform coefficients values as output of the direct 2-D computation approach.

We further define permutation matrices \( P_r \) and \( P_c \), such that

\[
I_{cc} = P_c \cdot (P_r)^T \cdot P_r = P_r \cdot (P_r)^T = (P_c)^T \cdot P_r
\]

(9)

then from (8)

\[
vec(Z) = P_c^T \cdot M \cdot P_r \cdot vec(Y)
\]

(10)

where

\[
M = P_r \cdot (S \otimes S) \cdot P_c
\]

(11)

From (11), we can further decompose "\( M \)" as follows

\[
M = \begin{pmatrix}
I_4 & O_4 & O_4 & O_4 \\
O_4 & M_1 & O_4 & O_4 \\
O_4 & O_4 & M_1 & O_4 \\
O_4 & O_4 & O_4 & M_2
\end{pmatrix}
\]

(13)

Where

\[
M_1 = \begin{pmatrix}
1.5 & 0.5 & 0 & 0 \\
-0.5 & 1.5 & 0 & 0 \\
0 & 0 & 1.5 & 0.5 \\
0 & 0 & 0 & 1
\end{pmatrix} \quad M_2 = \left( \frac{1}{4} \right) \cdot \begin{pmatrix}
9 & 1 & 3 & 3 \\
1 & 9 & -3 & -3 \\
-3 & 3 & 9 & -1 \\
-3 & 3 & -1 & 9
\end{pmatrix}
\]

The functional block diagram for (10) is depicted in Fig. 5(a). The signal flow graph for \( M_1 \) and \( M_2 \) is depicted in Fig 5(b) and Fig 5(c), respectively. The hardware implementation for (10) requires 30 adders in all. The number of adders are reduced to a value less than half (64 vs. 30), when compared with existing state-of-the art fast 2-D integer transform hardware architectures in the literature. Therefore, the proposed solution not only requires less area for its hardware implementation at one hand, but additionally consumes low dynamic power on the other, because of reduced number of adder units.
\[ \text{vec}(Z) = (C \otimes C) \cdot \text{vec}(X) \]  
\[ \text{vec}(Y) = (H \otimes H) \cdot \text{vec}(X) \]

where \( \otimes \) is the Kronecker product, \( \text{vec}(X) \) is the input column vector containing residual pixel data, \( \text{vec}(Z) \) and \( \text{vec}(Y) \) are the corresponding output column vectors for the forward integer transform coefficients and the Hadamard transform coefficients results, respectively.

We can write as follows:

\[ \text{vec}(Z) = \text{vec}(Y) + (\text{vec}(Z) - \text{vec}(X)) \]

\[ \Rightarrow \text{vec}(Z) = \text{vec}(Y) + O_{\text{offset}} \]

Thus the forward integer transformed coefficients can be derived from Hadamard transformed coefficients by adding an appropriate value for corresponding offset for each coefficient. Using (14), (15), and (16), the “\( O_{\text{offset}} \)” can be computed as follows:

\[ O_{\text{offset}} = Q \cdot \text{vec}(X) \]

where

\[ Q = (C \otimes C) - (H \otimes H) \]

"\( Q \)" is the difference of Kronecker product of the forward integer transform and the forward Hadamard transform, where "\( H \)" and "\( C \)" are defined in (3) and (5), respectively.

We further define permutation matrices \( P_{r_{1}}, P_{r_{2}} \) and \( P_{r_{3}} \) such that:

\[ I_{y} = P_{r_{1}} \cdot (P_{r_{2}})^{\dagger} \cdot P_{r_{3}} \cdot (P_{r_{2}})^{\dagger} = (P_{r_{2}})^{\dagger} \cdot P_{r_{3}} \]

\[ I_{x} = P_{r_{1}} \cdot (P_{r_{2}})^{\dagger} \cdot P_{r_{3}} \cdot (P_{r_{2}})^{\dagger} = (P_{r_{2}})^{\dagger} \cdot P_{r_{3}} \]

While considering the intermediate results generated in the 2-D Hadamard transform processing and using permutation matrices defined above, we split "\( Q \)" in (18) into 3 matrices \( Q_{1}, Q_{2}, \) and \( Q_{3} \), such that:

\[ Q_{1} = P_{r_{1}} \hat{Q}_{1}, \quad Q_{2} = P_{r_{2}} \hat{Q}_{2}, \quad \text{and} \quad Q_{3} = P_{r_{3}} \hat{Q}_{3} \]

From (17) and (18), we can write:

\[ \hat{Q}_{i} = \hat{Q}_{i}^{\dagger} \cdot P_{r_{i}} \cdot (P_{r_{i}})^{\dagger} \cdot P_{r_{i}} \]
From (20) and (21), we can observe that "Q_1" and "Q_2" compute the difference (or difference scaled by a factor of 2) between the 1st and 4th rows, or 2nd and 3rd rows of the input block "X"", respectively. The difference between these rows is also computed in the 2-D Hadamard transform computations. Similarly, in (22), "Q_3" computes the difference between rows of 1-D Hadamard transformed results. Again, these difference values are also computed as part of the 2-D Hadamard transform computation. We can reuse these intermediate results from the 2-D Hadamard transform and therefore replace the input vec(X) by input vec(D) with difference values. Consequently, from (19) we can derive:

\[ O_{ovw} = (P_{x} \cdot W_{1} + P_{x} \cdot W_{2} + P_{x} \cdot W_{3}) \cdot \text{vec}(D) \]  \\
(23)

where "W_1", "W_2" and "W_3" are given as follows:

\[ W_1 = [O \ O \ O \ O] \quad W_2 = [O \ O \ O \ O] \]

\[ W_3 = [O \ O \ I \ I] \]

and

\[ \text{vec}(D) = [D(0) \ D(1) \ D(2) ... \ D(15)]^T \]  \\
(25)

where

\[ D(0) = x_{00} - x_{30}; \quad D(1) = x_{01} - x_{31}; \]
\[ D(2) = x_{02} - x_{32}; \quad D(3) = x_{03} - x_{33}; \]
\[ D(4) = x_{10} - x_{20}; \quad D(5) = x_{11} - x_{21}; \]
\[ D(6) = x_{12} - x_{22}; \quad D(7) = x_{13} - x_{23}; \]
\[ D(8) = x_{20} - x_{10}; \quad D(9) = x_{10} - x_{20}; \]
\[ D(10) = x_{21} - x_{11}; \quad D(11) = x_{20} - x_{10}; \]
\[ D(12) = x_{21} - x_{11}; \quad D(13) = x_{11} - x_{21}; \]
\[ D(14) = x_{22} - x_{12}; \quad D(15) = x_{31} - x_{21}; \]

\[ x_{ij} \] is a data item from the 4x4 input block containing residual pixel data and \( X_{g} \) represents the 1-D Hadamard transformed coefficient for the 4x4 input block. Whereas \( i, j = 0, 1, 2 \) and 3; denotes the row/column respectively in the 4x4 input block.

The signal flow diagram for (23) is depicted in Fig. 6. Consequently, the proposed design requires 20 adders for computation of \( O_{ovw} \) in hardware and another 12 adders to compute the forward integer transform coefficients by adding the offset values to the Hadamard transformed coefficients.

The computation unit for \( O_{ovw} \) operates in parallel with the forward Hadamard transform unit and, therefore, it does not contribute to the overall latency of the intra frame processing chain.

IV. DESIGN EVALUATION

The two solutions proposed in this paper were implemented in VHD and synthesized by Synopsys Design Compiler (v2002 rev05), for a maximum clock frequency of 200 MHz with 0.18 CMOS standard cell library (v1.5). Both implementations were simulated using ModelSim™ and the results were verified with those of H.264/AVC Reference Software [7]. After logic synthesis, the dynamic power consumption was estimated using Synopsys PrimePower™.
Our first solution, for the *forward integer transform*, targets image processing applications running on battery-powered electronic devices, such as DSC. The comparison with the existing single 4x4 *forward integer transform* solutions from the literature is provided in Table 1. From the comparison with other solutions, we suggest that the proposed solution provides the minimum latency penalty (4.82ns) among all solutions in Table 1. and also requires significantly less area (2.6K gates) in terms of equivalent gate count for its hardware implementation. Therefore, it provides up to 5 times better performance in terms of equivalent gate count for the same process technology. This is achieved by significantly reducing the number of addition operations (30 vs. 64) for realization of the *forward integer transform*. The power consumption for the other single 4x4 *forward integer transform* solutions is not available, therefore, we cannot compare the dynamic power consumption results.

Our second solution targets real-time video processing applications, such as *Television Studio Broadcast* or *Surveillance videos*, where throughput is more important. We proposed a low-latency, area-efficient realization of *forward integer transform* unit in the intra frame processing chain. With this proposed solution, the effective latency penalty for the *forward integer transform* unit is reduced to zero, as the computation for the *forward integer transform* is no longer on the critical path. For comparison with multiple transform solutions, we merged the 4x4 *forward Hadamard transform* unit with our proposal. The comparison results for multiple transform solutions are provided in Table 2. In additions to zero latency penalty in the intra-frame processing chain, the proposed solution provides up to 30 times better performance in terms of throughput/area ratio.

Our first solution provides 2x higher data processing rate yet do not require a set of (8, 13-bit) multiplexer units to implement data paths with and without shift operations to realize multiplications. Therefore, the proposed solution shall not only require less area for its hardware implementation at one hand for 0.35 μm process technology, but also potentially consumes low dynamic power on the other, because of reduced number of processing units.

V. CONCLUSION

In this paper, we proposed two solutions for realization of the *forward integer transform* in the processing chain of intra-only frame encoder applications. The first solution targeted image compression applications running on battery-powered...
The proposed solution utilizes a novel 2-D transform to derive the forward integer transform coefficients directly from that of Hadamard transform with significantly reduced number of operations and, therefore, area and power consumption. The second solution targeted video compression applications and, therefore, area and power consumption. The results from zero. Moreover, it aggressively re-used the intermediate significantly reduced number of operations and thus less area and electronic devices, such as Digital Still Camera (DSC).

Electronic devices, such as Digital Still Camera (DSC). The proposed solution utilizes a novel 2-D transform to derive the forward integer transform coefficients directly from that of Hadamard transform with significantly reduced number of operations and, therefore, area and power consumption. The second solution targeted video compression applications processing high-resolution video frames in real-time, such as Digital Video Camera (DVC), Television Studio Broadcast and Surveillance video. The proposed solution reduced the effective latency penalty of the forward integer transform to zero. Moreover, it aggressively re-used the intermediate results from Hadamard transform and, therefore, requires significantly reduced number of operations and thus less area for its hardware implementation.

REFERENCES


Fig. 6 Data flow for computation of $O_{offset}$ electronic devices, such as Digital Still Camera (DSC).